



Docket No.: E3331.0217
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Gregory Mills et al.

Application No.: 09/786,736

Confirmation No.: 4260

Filed: June 18, 2001

Art Unit: 3628

For: COMMUNICATION OF CREDIT FILTERED
PRICES IN AN ELECTRONIC BROKERAGE
SYSTEM

Examiner: Timothy M. Harbeck

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Action dated June 21, 2006, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 13 of this paper.